

TO SET UP AN INTERVIEW OR REQUEST MORE INFORMATION, CONTACT:

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Ken Morris as he appeared on *Kudlow & Cramer* on August 27th, 2003.

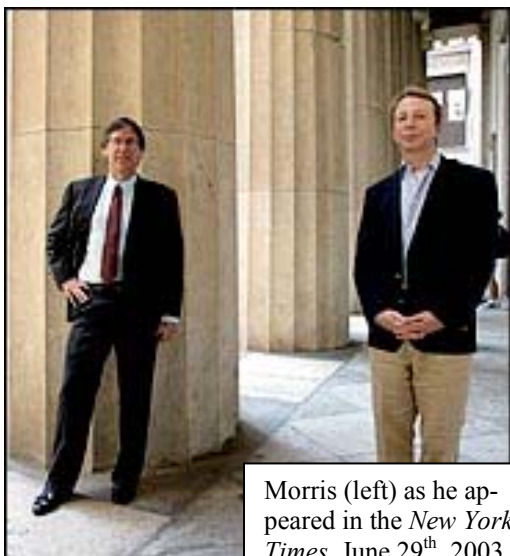
“Ken Morris has the goods and isn’t afraid to blow the whistle. The greatest opportunity is television—he’s got terrific presence, a great voice, and I could see him developing a niche as a commentator/novelist with portfolio.”
—FOSTER WINANS, FORMER *WALL STREET JOURNAL* COLUMNIST, AUTHOR, AND LECTURER

On August 27th, 2003, Jim Cramer, co-host of the CNBC program “*Kudlow & Cramer*,” asked author and famous trader Ken Morris: “In your book [financial thriller, **Man in the Middle**], hedge funds are always getting information before anyone else. Is that, in your opinion, still true?”

Morris unequivocally answered “yes.”

Wall Street Refugee and Bestselling Financial Thriller Author Raises His Voice Against Current Scandal Surrounding Hedge Funds, NYSE

IN THE SHORT WEEKS that followed that interview, Morris’ practiced insight and



Morris (left) as he appeared in the *New York Times*, June 29th, 2003.

foresight about the unregulated, forceful nature of hedge funds was proven correct as the financial community was overtaken with revelations about the illegal dealings of hedge

funds, including the unlawful purchase of mutual fund shares. Numerous experts suggest Morris’ aggressive storming is what brought down the London Stock Exchange in the mid-eighties. As similar precedent-setting events begin to surround the NYSE, is history repeating

- Where Ken Morris has appeared:**
- *The NY Times*
 - *The Washington Post*
 - *The SF Chronicle*
 - *The Boston Globe*
 - *The Financial Times of London*
 - CNBC’s “Kudlow and Cramer”
 - CNBC/MSN
 - FOX 6
 - Newsweek Radio
 - *International Herald Tribune*
 - RealMoney.com
 - *USA Today*

itself—to the credit of Ken Morris? Many say yes.

International financial mayhem is more prevalent today than ever, Morris says, adding that the unethical behavior and lying is so pervasive on Wall Street that it is accepted as “business as usual.” The impending exposure of such corruption was punctuated by the recent resignation of NYSE Chief Dick Grasso.

The mutual fund/hedge fund controversy is just beginning, and because mutual funds fall under the Investment Company Act of 1940, there will be many, many criminal indictments—people *will* be put away, before this episode ends.

WOULDN'T YOU *like to get the background on this growing story from the*

In your interview with Ken Morris, you can quiz him on his insider knowledge:

- Ways criminal elements in our society wash money through the markets.
- How some Wall Street stars make \$50 million a year, even during losing years.
- Unethical ways certain professional investors and aggressive money managers make money.
- Inherent dangers of the NYSE being a self-regulating monopoly.

*expert who's already been quoted in prestigious national media? Contact us if you'd like to do an **interview** or **profile** of this exciting market player.*

The questions your audience wants answered

- ✓ How can a man working in high-stakes stock trading maintain his integrity?
- ✓ How far will criminal elements in our society go in order to wash their money clean through the markets?
- ✓ Just how much of this activity is taking place in our society right now?
- ✓ Knowing what you know, would you recommend that investors invest in the stock market and, if so, how and why?
- ✓ How do you reconcile your various sobriquets – “angriest man on Wall Street” and “a Wall Street trading legend”?
- ✓ Some Wall Street stars make \$50 million a year, even during losing years, like the last three the market's experienced. How can that possibly make any sense?
- ✓ And why do we not see a lot of public anger about that? Aren't we the schmucks who pay those so-called stars?
- ✓ How does the Wall Street corruption affect your 401(k)?
- ✓ How do you protect yourself and your 401(k) from that corruption?
- ✓ Will the \$1.4 billion settlement cure Wall Street corruption?

Book Synopsis

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“Oliver Dawson, even with powerful binoculars, couldn’t see the concerned faces, or sense the razor-edged tensions, in the hotel room above—too many reflections off the room’s main window. Too bad, he thought. He wondered, half-seriously, when he’d hear the gunshot blowing Peter Neil’s head off his neck.”

From Man in the Middle

Morris’ Financial Thriller Exposes Hedge Fund Corruption

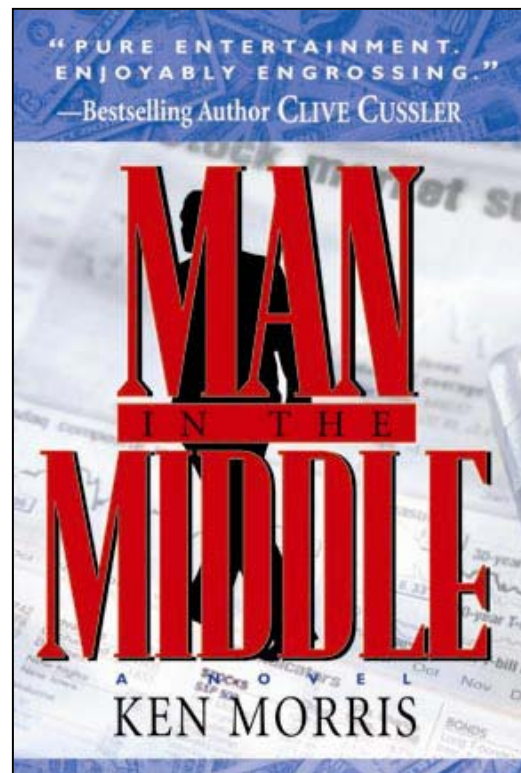
MAN IN THE MIDDLE IS THE STORY of one young man, Peter Neil, who finds himself thrust into the world of a high stakes hedge fund. For a time, he buys into the game of wealth-creation with unbridled enthusiasm.

Only after discovering that the deaths of several people—his mother included—were not what they seemed, does he realize he needs to get out. With the help of a diminutive SEC agent and an old flame, he devises an inspired plan to extricate himself from those wishing to control him.

“Pure entertainment. Enjoyably engrossing.” —Clive Cussler, Best-selling Author of The Numa Files Series, and Valhalla Rising

Executing that plan, however, carries not only life-or-death consequences, but is as daunting a task as Peter Neil, or anyone else, has ever had to handle. ***Man in the Middle***, one of the best, most gripping, most timely financial thrillers to be published in a long, long time, is un-

questionably fiction, yet influenced by some of the individuals the author met during his Wall Street years at the top of the capital markets—people who routinely bent or broke the rules.



Such amorality in the financial and business milieus is still rampant, his book suggests, and perhaps to a greater degree than ever—witness the Enron, Worldcom, Tyco, and Imclone scandals, among others.

In the words of one astute reader, “*Man in the Middle* is a gritty trek through the piranha-inhabited water of high finance.” Anyone interested in understanding the reality behind today’s headlines (and tomorrow’s) will find Ken Morris’ a must and entertaining read.

Praise for Man in the Middle

“No one ever put down a Ken Morris thriller.”
-- JIM CRAMER, CO-HOST, CNBC’S “KUDLOW & CRAMER”

“If the recent rollercoaster ride of the stock market hasn’t jump-started your heart, it’s time to read Ken Morris’ *Man in the Middle*. This financial thriller both educates and excites, and offers a pulse-pounding return on investment. *My market tip?* Add this one to your literary portfolio.”

-- ALAN RUSSELL, AWARD-WINNING AUTHOR OF *EXPOSURE*

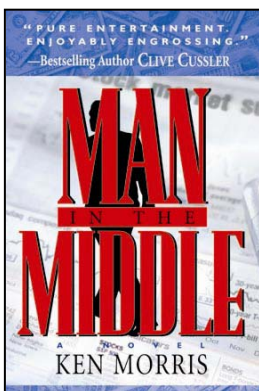
"*Man in the Middle* is a great, great novel. After a starting bang (literally...I can see that in the movie!), the plot more slowly unfolds, and then it grabs you and doesn't let you go. I couldn't put it down. While it was fiction, I could swear it was fact."

-- HERB GREENBERG, MONTHLY COLUMNIST FOR FORTUNE MAGAZINE

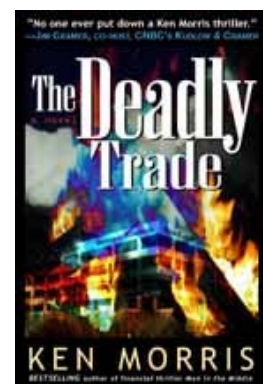
“In 1992, the author, a successful stock trader, left Wall Street for California, where he devoted much of his time to writing. His first novel, a financial thriller (naturally), suggests that his literary talent may be as finely honed as his business skills ... This is a crisply written, well-developed, and suspenseful tale of greed and deception. Recommend it with confidence to fans of financial thrillers.”

-- BOOKLIST

To request a review copy of **Man in the Middle**, or Morris’ upcoming **The Deadly Trade**, please contact:



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Author Bio

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"I have always wanted Wall Street to listen to what I thought was wrong with Wall Street and the way business is done. The novel is a way in which I can reveal the insider trading, pump and dump tactics, the cut-throat, maniacal, and often unethical culture of international trading in a way that is understandable to a lot of people."

—Ken Morris

Bio of a "Wall Street Legend"—His Twenty Year Call for NYSE Audit Finally Answered

KEN MORRIS IS A FORMER Wall Street trader and executive, having started Morgan Stanley's International Equity Department in 1984. He ran similar operations at other top investment banks, including Drexel, Burnham (home of Mike Milken and junk bonds), over a career in the capital markets that spanned twenty years.



He's observed everything from the lack of oversight of hedge funds, rampant pump and dump tactics, front-running and insider trading—all of which have made recent headlines, from the billion-dollar fines levied at the NASD to current insider trading allegations against such high profile investors as Martha Stewart. Morris spent years alerting others about the unlawful practices prevalent in his business and was nicknamed "the angriest man on Wall Street."

His twenty years of demanding an audit of the NYSE's trading practices and governance has finally come to fruition in just the past weeks.

In addition, he is the author of the best-selling financial thriller, **Man in the Middle**, which takes a critical look at the interplay of hedge funds and brokers. His second novel, **The Deadly Trade**, on the chilling topic of the relationship between biological warfare and high finance, will be released in March 2004.

Morris lives in Del Mar, California, with his wife, a fund manager, and their four sons.

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